

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**☒ Declaration Submitted with Initial Filing
☐ Declaration Submitted after Initial Filing

| | |
|--------------------------|------------------------|
| Attorney Docket Number | X-11441 |
| First Named Inventor | Richard Craig Thompson |
| COMPLETE IF KNOWN | |
| Application Number | |
| Filing Date | |
| Group Art Unit | |
| Examiner Name | |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

N¹- MODIFIED GLYCOPEPTIDES

the specification of which

☐ is attached hereto

OR

☒ was filed on (26/02/1999) 26 FEBRUARY 1999 as United States Application Number or PCT International

Application Number PCT/US99/04306 and was amended on (DD/MM/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (DD/MM/YYYY) | Priority Not Claimed | Certified Copy Attached | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
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| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (DD/MM/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto. |
|-----------------------|--------------------------|--|
| 60/083,879 | 01/05/1998 | |

DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application Number | PCT Parent Number | Parent Filing Date (DD/MM/YYYY) | Parent Patent Number (if applicable) |
|-----------------------------------|----------------------|------------------------------------|---|
| | | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| Attorney Name | Reg. No. |
|----------------------|----------|
| Arvie J. Anderson | 45,263 |
| Lynn D. Apelgren | P45,341 |
| Robert A. Armitage | 27,417 |
| Brian P. Barrett | 39,597 |
| Michael T. Bates | 34,121 |
| Roger S. Benjamin | 27,025 |
| William R. Boudreaux | 35,796 |
| Steven P. Caltrider | 36,467 |
| Paul R. Cantrell | 36,470 |
| Charles E. Cohen | 34,565 |
| Kenneth J. Collier | 34,982 |
| Daniel W. Collins | 31,912 |
| Robert A. Conrad | 32,089 |
| Elizabeth A. Dawalt | 44,646 |
| Paul R. Darkes | 33,862 |
| Steven G. Davis | 39,652 |
| John C. Demeter | 30,167 |
| Manisha A. Desai | 43,585 |
| John H. Engelmann | 28,075 |
| Joanne Longo Feeney | 35,134 |
| Paul J. Gaylo | 36,808 |
| Francis O. Ginah | 44,712 |
| Edward P. Gray | 30,638 |
| Amy E. Hamilton | 33,894 |
| Suzanne M. Harvey | 42,640 |
| Frederick D. Hunter | 26,915 |
| Thomas E. Jackson | 33,064 |
| Charles Joyner | 30,466 |
| James J. Kelley | 41,888 |

| Attorney Name | Reg. No. |
|------------------------|----------|
| Paul C. Kimball | 34,641 |
| Paul J. Koivuniemi | 31,533 |
| Robert E. Lee | 27,919 |
| James P. Leeds | 35,241 |
| Nelsen L. Lentz | 38,537 |
| Ronald S. Maciak | 35,262 |
| Janet T. McClain | 36,863 |
| Scott A. McNeil | 37,185 |
| Arlene K. Musser | 37,895 |
| Douglas K. Norman | 33,267 |
| Arlene Palmberg | 40,422 |
| Raymond S. Parker, III | 34,893 |
| Thomas G. Plant | 35,784 |
| James J. Sales | 33,773 |
| Michael J. Sayles | 32,295 |
| Robert L. Sharp | P45,609 |
| David M. Stemerick | 40,187 |
| Mark J. Stewart | 43,936 |
| Robert D. Titus | 40,206 |
| Barbara Twardzik | 36,386 |
| MaChari Vomdran-Jones | 36,711 |
| Gilbert T. Voy | 43,972 |
| Andrea C. Walsh | 34,988 |
| Thomas D. Webster | 39,872 |
| Lawrence T. Welch | 29,487 |
| Alexander Wilson | P45,782 |

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to

| | | | | | |
|---------|-------------------------------|-----------|----------------|-----|----------------|
| Name | ELI LILLY AND COMPANY | | | | |
| Address | ATTN: Arlene K. Musser | | | | |
| Address | LILLY CORPORATE CENTER/DC1104 | | | | |
| City | INDIANAPOLIS | State | INDIANA | ZIP | 46285 |
| Country | USA | Telephone | (317) 276-4344 | Fax | (317) 276-3861 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned inventor

| | | | | | | | |
|----------------------|--------------------------------|-------------|-------|-------------|----------|-----------------|----|
| Given Name | Richard | Middle Name | Craig | Family Name | Thompson | Suffix e.g. Jr. | |
| Inventor's Signature | <i>Richard Thompson</i> | | | | Date | 09-15-00 | |
| Residence: City | Frankfort | State | IN | Country | USA | Citizenship | US |
| Address | 763 North County Road 900 W st | | | | | | |
| Post Office Address | SAME AS ABOVE | | | | | | |
| City | Frankfort | State | IN | Zip | 46041 | Country | US |

☐ Additional Inventors are being named on supplement sheet(s) attached hereto.

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

| | | | | | | | |
|--|-------------------------------|-------------|---------|---|--------|-----------------|-----------|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A Petition has been filed for this unsigned inventor | | | |
| Given Name | Stephen | Middle Name | Charles | Family Name | Wilkie | Suffix e.g. Jr. | |
| Inventor's Signature | <i>Stephen Charles Wilkie</i> | | | | | Date | 9/25/2000 |
| Residence: City | Indianapolis | State | IN | Country | US | Citizenship | US |
| Address | 8229 Quetico Drive | | | | | | |
| Post Office Address | SAME AS ABOVE | | | | | | |
| City | Indianapolis | State | IN | Zip | 46268 | Country | US |

| | | | | | | | |
|--|---------------|-------------|--|---|--|-----------------|--|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A Petition has been filed for this unsigned inventor | | | |
| Given Name | | Middle Name | | Family Name | | Suffix e.g. Jr. | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | SAME AS ABOVE | | | | | | |
| City | | State | | Zip | | Country | |

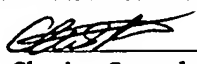
| | | | | | | | |
|--|---------------|-------------|--|---|--|-----------------|--|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A Petition has been filed for this unsigned inventor | | | |
| Given Name | | Middle Name | | Family Name | | Suffix e.g. Jr. | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | SAME AS ABOVE | | | | | | |
| City | | State | | Zip | | Country | |

| | | | | | | | |
|--|---------------|-------------|--|---|--|-----------------|--|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A Petition has been filed for this unsigned inventor | | | |
| Given Name | | Middle Name | | Family Name | | Suffix e.g. Jr. | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | SAME AS ABOVE | | | | | | |
| City | | State | | Zip | | Country | |

S/N 09/647,113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: THOMPSON, et al. Examiner: D. LUKTON
Serial No.: 09/647,113 Group Art Unit: 1653
Filed: SEPTEMBER 26, 2000 Docket No.: X-11441
Title: N¹-MODIFIED GLYCOPEPTIDES

CERTIFICATE UNDER 37 CFR ~~1.10~~ sent by Express Mail No. EV37638480 US
I hereby certify that this correspondence is being ~~transmitted by express~~ to the Commissioner for Patents, Washington,
D.C. 20231 on 1-10-02

Chris Stordahl

CHANGE OF CORRESPONDENCE ADDRESS AND
ASSOCIATE POWER OF ATTORNEY

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

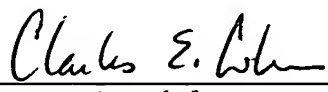
Please recognize Ronald A. Daignault, Registration No. 25,968 as associate attorney in this application, with full power to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please send all further correspondence in connection with this application to:

Ronald A. Daignault
Merchant & Gould P.C.
P. O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Respectfully submitted,

17 December 2001
Date

By: 
Reg. No. 34,565
Eli Lilly and Company
Patent Division/CEC
Lilly Corporate Center
Indianapolis, Indiana 46285



S/N 09/647,113

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: THOMPSON ET AL. Examiner: D. LUKTON
Serial No.: 09/647,113 Group Art Unit: 1653
Filed: SEPTEMBER 26, 2000 Docket No.: X-11441 (13903.4USU1)
Title: N1 MODIFIED GLYCOPEPTIDES
Customer No. 23552

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 22, 2003.

By: 

Name: KATE GANNON

ASSOCIATE POWER OF ATTORNEY

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Dear Sir:

Please recognize Mark DiPietro, Registration No. 28,707, as associate attorney in the above-identified application, with full power to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Date: 10/22/03


Ronald A. Daignault
Reg. No. 25,968
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